

## Initial Pool Process – Limited Record Review

Care Area	Probe	Response Options
For any resident marked as non-interviewable, refused, unavailable or out of facility the following areas should be reviewed in the record regardless of whether the area is an indicator for the resident.		
Pressure Ulcers	<ul style="list-style-type: none"> <li>Did the resident develop a pressure ulcer in the facility that has not healed?</li> <li>Did the resident have a pressure ulcer that worsened and hasn't improved recently?</li> <li>Does the resident currently have a pressure ulcer that became infected in the facility?</li> </ul> <p>Note: Exclude Stage 1 pressure ulcers.</p>	<p>No Issues/NA</p> <p>Further Investigation</p> <p>MDS Discrepancy</p>
Dialysis	<ul style="list-style-type: none"> <li>Is the resident receiving peritoneal dialysis or hemodialysis?</li> </ul>	<p>No Issues</p> <p>Further Investigation</p> <p>NA</p> <p>MDS Discrepancy</p>
Nutrition	<ul style="list-style-type: none"> <li>Did the resident have an unplanned weight loss of 5% or more in the last month or 10% or more in the last 6 months?</li> <li>Does the resident still have weight loss?</li> </ul> <p>Note: Exclude residents currently receiving hospice or end of life services.</p>	<p>No Issues/NA</p> <p>Further Investigation</p> <p>MDS Discrepancy</p>
Respiratory Infection	<ul style="list-style-type: none"> <li>Does the resident currently have a respiratory infection?</li> </ul>	<p>No Issues/NA</p> <p>Further Investigation</p> <p>MDS Discrepancy</p>
Urinary Tract Infection (UTI)	<ul style="list-style-type: none"> <li>Does the resident currently have a UTI?</li> </ul>	<p>No Issues/NA</p> <p>Further Investigation</p> <p>MDS Discrepancy</p>
Infections (not UTI, Pressure Ulcer, or Respiratory)	<ul style="list-style-type: none"> <li>Does the resident currently have any other infection (e.g., surgical infection, eye infection, C. difficile, sepsis, or gastroenteritis such as norovirus)?</li> </ul>	<p>No Issues/NA</p> <p>Further Investigation</p> <p>MDS Discrepancy</p>

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Falls	<ul style="list-style-type: none"> <li>Did the resident have a fall(s) with major injury in the last 120 days?</li> </ul>	No Issues/NA  Further Investigation  MDS Discrepancy
ADL Decline	<ul style="list-style-type: none"> <li>Has the resident had a decline in their bed mobility, transfer, eating or toilet use recently and is not receiving therapy or restorative for the decline in the last 120 days?</li> </ul>	No Issues/NA  Further Investigation  MDS Discrepancy
Low Risk B&B	<ul style="list-style-type: none"> <li>Is the resident incontinent of bowel or bladder and not at a high risk for incontinence issues?</li> </ul> <p>Note: High risk means the resident is cognitively impaired, receives hospice or end of life services, or requires extensive to total assistance from staff with bed mobility, transfers, toileting or locomotion.</p>	No Issues/NA  Further Investigation  MDS Discrepancy
Hospitalization	<ul style="list-style-type: none"> <li>Was the resident re-hospitalized in the last 120 days?</li> </ul>	No Issues/NA  Further Investigation  MDS Discrepancy
Elopement	<ul style="list-style-type: none"> <li>Is the resident at risk for elopement?</li> <li>Has the resident eloped in the last 120 days?</li> </ul>	No Issues/NA  Further Investigation  MDS Discrepancy
Change of Condition	<ul style="list-style-type: none"> <li>Has the resident had a change of condition in the last 120 days that was not identified, monitored or treated appropriately?</li> </ul>	No Issues/NA  Further Investigation
For <b>all residents</b> who are observed during the initial pool process, the record is reviewed for high risk meds and PASRR only if the resident has the indicator present.		
Insulin	<ul style="list-style-type: none"> <li>Is the resident currently receiving insulin?</li> </ul>	Yes  No  MDS Discrepancy
Anticoagulant	<ul style="list-style-type: none"> <li>Is the resident currently receiving an anticoagulant?</li> </ul>	Yes  No  MDS Discrepancy

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Antipsychotic with Alzheimer's or Dementia	<ul style="list-style-type: none"> <li>Is the resident currently receiving an antipsychotic and has a diagnosis of Alzheimer's or dementia?</li> </ul>	Yes No MDS Discrepancy
Antibiotic	<ul style="list-style-type: none"> <li>Is the resident currently receiving an antibiotic?</li> </ul>	Yes No MDS Discrepancy
Antipsychotic with New Schizophrenia Diagnosis	<ul style="list-style-type: none"> <li>Is the resident currently receiving an antipsychotic and has a new diagnosis of schizophrenia?</li> </ul>	Yes No MDS Discrepancy
<i>No Antipsychotic with New Schizophrenia Diagnosis</i>	<ul style="list-style-type: none"> <li><i>Does the resident have a new diagnosis of schizophrenia?</i></li> </ul>	<i>Yes</i> <i>No</i> <i>MDS Discrepancy</i>
PASRR	<ul style="list-style-type: none"> <li>Was a Level II PASRR adequately completed and determined the resident does not qualify for Level II services even though the resident has a serious mental illness, ID or other organic condition related to ID/DD?</li> </ul>	No Issues/NA Further Investigations MDS Discrepancy
For <b>all residents</b> who are observed during the initial pool process, the record is reviewed for Advanced Directives and Other.		
Advance Directives	<ul style="list-style-type: none"> <li>Does the resident have an advance directive in place?</li> </ul> If information is kept in two places (e.g., EHR and the hard chart), ensure the information matches.	No Issues/NA Further Investigation
Other	<ul style="list-style-type: none"> <li>Did you have any additional concerns that you identified from the record?</li> </ul>	No Issues/NA Further Investigation
For <b>new admissions</b> added to the Resident Listing who are observed during the initial pool process (i.e., they don't have an MDS), the record is reviewed for high risk meds.		
High Risk Meds	<ul style="list-style-type: none"> <li>Is the resident currently receiving any of the following medications at least one time in the last 30 days? (Mark all that apply)</li> </ul> Antipsychotic Antianxiety Antidepressant Hypnotic	Select all that apply.

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	Anticoagulant Antibiotic Diuretic Insulin Opioids None of the above  Note: Do not code aspirin or Plavix as an anticoagulant. Code medications according to a drug's pharmacological classification, not how it is used.	
Diagnoses	<ul style="list-style-type: none"> <li>Does the resident have any of the following diagnoses? (Mark all that apply)</li> </ul> Alzheimer's or dementia Huntington's syndrome Tourette's Syndrome Manic Depression (bipolar disease) Schizophrenia Cerebral Palsy Multiple Sclerosis Seizure Disorder/Epilepsy None of the above	Select all that apply.
New Schiz and Antipsychotic	<ul style="list-style-type: none"> <li>Is the resident at least 65 years of age, receiving an antipsychotic, and has a new diagnosis of schizophrenia since admission?</li> </ul>	Yes  No
<i>New Schiz and No Antipsychotic</i>	<ul style="list-style-type: none"> <li><i>Is the resident at least 65 years or older and has a new diagnosis of schizophrenia since admission?</i></li> </ul>	<i>Yes</i>  <i>No</i>
Hospice	<ul style="list-style-type: none"> <li>Is the resident receiving hospice, end of life, or palliative care services?</li> </ul>	Yes  No  MDS Discrepancy